



PAVILION

PAVILION AT-A-GLANCE

Our vision is to be a leading, global investment services firm offering advice and execution services to institutional and other investors.

PAVILION FINANCIAL CORPORATION

WHO WE ARE

- Diversified global investment services firm with client and business relationships in 50+ countries.
- Headquartered in Winnipeg, Canada, with over 200 employees and offices across Canada, the U.S. and in the U.K. and Singapore.
- Services and solutions backed by recognized, [award-winning](#), in-house research covering the global macro-economic environment, quantitative strategies and investment manager due diligence.
- Formed in 2006, with roots dating back to 1968.
- Employee-owned company.

VALUES

Pavilion's five core values steer our thinking, shape our decisions and challenge us every day to excel for the benefit of our clients:

- EXCELLENCE
- TRUST
- RESPECT
- TEAMWORK
- ENGAGEMENT

LEADERSHIP

Pavilion's Executive Chairman, Martin Weinberg, was previously the founder and CEO of Assante Corporation, one of Canada's largest, non-bank-owned financial advisory organizations.

Leadership team of seasoned executives has a long and successful track record in the financial services industry.

BUSINESS DIVISIONS

Pavilion Global Markets Ltd. is a leading player in global capital markets, offering securities trading, global macro research and transition management services to institutional clients.

Pavilion Advisory Group® provides institutional clients with a full range of solutions spanning the investment process, from advice to implementation to oversight, all underpinned by research.

Pavilion Alternatives Group™ provides investment consulting services across alternative asset classes including private equity, private credit, real assets and hedge funds.

PAVILION GLOBAL MARKETS LTD

- 24-hour trading desk offers best price, best execution.
- Agency-only trading avoids the conflicts inherent in a traditional brokerage model that combines agency and proprietary trading.
- Trading volumes are approximately 75 billion (2015-2016 average)
- Ranked No. 1 among brokers trading U.S. equities and No. 5 among brokers trading global equities in an analysis of transaction costs ([2015](#)).
- Ranked No. 1 for its level of track record disclosure in Chief Investment Officer's transition management survey (2014) and handles more than \$30 billion in transitions annually (2016).

PAVILION ADVISORY GROUP®

- Advises on approximately US\$500 billion of institutional clients assets (as of June 30, 2016).
- Proprietary investment manager database, MGR-IQ™, features nearly 1,000 managers and 5,000 mandates.
- First and only institutional investment consulting firm (Pavilion Advisory Group Inc.) to achieve the ["Peer Reviewed by HFMA" designation](#), following a review by the Healthcare Financial Management Association.
- [Greenwich Associates](#) named Pavilion Advisory Group Inc. a 2015 Quality Leader in the mid-sized firm category for Overall U.S. Investment Consulting.

Pavilion Investment House, a division of Pavilion Advisory Group Ltd., provides wealth management services to families, business owners and select individuals.

PAVILION ALTERNATIVES GROUP™**

- Advisor to clients with approx. \$60 billion in alternative asset commitments (as of June 30, 2016).
- Clients include large public pension funds, sovereign wealth funds, corporate plans and family offices.
- Network of relationships with managers across strategies and geographies to enhance access and market knowledge.
- Deep team with backgrounds in finance, accounting, auditing, valuation and transaction services.
- Focus on process and controls to protect capital and avoid surprises.

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